

	Benchmark	Main Facts	Respondents	Respondents' company (if the respondent is an individual)	Violation Periods
1	Indexes of natural gas prices	Attempted manipulation and false reporting	Dynegy Marketing and Trade	-	January 2000 to June 2002
2	Indexes of natural gas prices	Attempted manipulation and false reporting	West Coast Power LLC	-	January 2000 to June 2002
3	Indexes of natural gas prices	Attempted manipulation and false reporting	El Paso Merchant Energy LP	-	June 2000 to November 2001
4	Indexes of natural gas prices	Attempted manipulation and false reporting	WD Energy Services Inc	-	June 2000 to August 2000
5	Indexes of natural gas prices	Attempted manipulation and false reporting	William Companies Inc and William Energy Marketing and Trading	-	January 2000 to June 2002
6	Indexes of natural gas prices	Attempted manipulation and false reporting	Enserco Energy Inc	-	May 2000 to June 2002
7	Indexes of natural gas prices	Attempted manipulation and false reporting	Duke Energy Trading and Marketing LLC	-	January 2000 to August 2002
8	Indexes of natural gas prices	Attempted manipulation and false reporting	American Electric Power Company Inc and AEP Energy Services Inc	-	November 2000 to October 2002
9	Indexes of natural gas prices	Attempted manipulation and false reporting	Reliant Energy Services, Inc	-	February 1999 to May 2002
10	Indexes of natural gas prices	Attempted manipulation and false reporting	CMS Marketing Service and Trading and CMS Field Services	-	November 2000 to September 2002
11	Indexes of natural gas prices	Attempted manipulation and false reporting	Aquila Merchant Services	-	January 1999 to June 2002
12	Indexes of natural gas prices	Attempted manipulation and false reporting	e prime, Inc	-	April 2000 to September 2002
13	Indexes of natural gas prices	False reporting	Energy Koch Trading LP	-	February 2001 to October 2002
14	Indexes of natural gas prices	False reporting	ONEOK Inc and ONEOK Energy Marketing and Trading Company LP	-	July 2000 to October 2002
15	Indexes of natural gas prices	False reporting	Calpine Energy Services LP	-	September 2001 to October 2002
16	Indexes of natural gas prices	Attempted manipulation and false reporting	Western Gas Resources Inc	-	June 1999 to February 2001
17	Indexes of natural gas prices	Attempted manipulation and false reporting	Coral Energy Resources LP	-	January 2000 to September 2002
18	Indexes of natural gas prices	Attempted manipulation and false reporting	Mirant Americas Energy Marketing LP	-	January 2000 to December 2001
19	Indexes of natural gas prices	Attempted manipulation and false reporting	Michael Whitney	Duke Energy Trading and Marketing LLC (a former energy trader)	June 2001 to August 2002
20	Indexes of natural gas prices	Attempted manipulation and false reporting	Jeffrey Bradley	CMS Field Services (a former manager)	January 2001 to October 2002
21	Indexes of natural gas prices	Attempted manipulation and false reporting	Robert Martin	CMS Field Services (a former director)	January 2001 to October 2002
22	Indexes of natural gas prices	Attempted manipulation and false reporting	Paul Atha	Mirant Americas Energy Marketing LP (a former energy trader)	January 2000 to late 2000 or early 2001
23	Indexes of natural gas prices	Attempted manipulation and false reporting	Christopher McDonald	Mirant Americas Energy Marketing LP (a former energy trader)	January 2000 to late 2000 or early 2001
24	Indexes of natural gas prices	Attempted manipulation and false reporting	Michael Whalen	Mirant Americas Energy Marketing LP and Cinergy Corporation (a former energy trader)	January 2000 to late 2000 or early 2001
25	Indexes of natural gas prices	Attempted manipulation and false reporting	Denette Johnson	Shell Trading Gas and Power Company (Providing services for Coral Energy Resources LP)	October 2001 to June 2002
26	Indexes of natural gas prices	Attempted manipulation and false reporting	Courtney C. Moore	Shell Trading Gas and Power Company (Providing services for Coral Energy Resources LP)	October 2001 to June 2002
27	Indexes of natural gas prices	Attempted manipulation and false reporting	Robert Harp	Shell Trading Gas and Power Company (Providing services for Coral Energy Resources LP)	October 2001 to June 2002
28	Indexes of natural gas prices	Attempted manipulation and false reporting	Anthony Dizona	Shell Trading Gas and Power Company (Providing services for Coral Energy Resources LP)	October 2001 to June 2002
29	Indexes of natural gas prices	Attempted manipulation and false reporting	John Tracy	Shell Trading Gas and Power Company (Providing services for Coral Energy Resources LP)	October 2001 to June 2002
30	Indexes of natural gas prices	Attempted manipulation and false reporting	Kelly Dyer	Shell Trading Gas and Power Company (Providing services for Coral Energy Resources LP)	October 2001 to June 2002
31	Indexes of natural gas prices	Attempted manipulation and false reporting	Matthew Reed	Enserco Energy Inc and Concord Energy, LLC	May 2000 to October 2002
32	Indexes of natural gas prices	Attempted manipulation and false reporting	Darrell Danyluk	Enserco Energy Inc	May 2000 to October 2002
33	Indexes of natural gas prices	Attempted manipulation and false reporting	Shawn McLaughlin	Enserco Energy Inc (President)	May 2000 to October 2002
34	Indexes of natural gas prices	Attempted manipulation and false reporting	Concord Energy, LLC	Enserco Energy Inc	May 2000 to October 2002
35	Indexes of natural gas prices	Attempted manipulation and false reporting	Andrew Richmond	Western Gas Resources Inc (former Director of Marketing)	April 2000 to February 2001
36	Indexes of natural gas prices	Attempted manipulation and false reporting	Joseph Foley	AEP Energy Services Inc (former natural gas head trader)	November 2000 to September 2002
37	Price of Feeder Cattle	Attempted manipulation and false reporting	Todd J. Delay, Jack McCaffery, and John Lawless	An associated person of Rosenthal Collins Group, North Platte Feeders (the president), and Imperial	Prior to October 2003
38	Indexes of natural gas prices	Attempted manipulation and false reporting	Energy Transfer Partners, LP, Energy Transfer Company, Houston Pipeline Company, and ETC Marketing, Ltd	-	September and November 2005
39	Price of spot cash West Texas Intermediate	Attempted manipulation and false reporting	Marathon Petroleum Company	-	November 26, 2003
40	U.S. Dollar LIBOR and Euribor, etc	Attempted manipulation and false reporting	Barclays PLC, Barclays Bank PLC and Barclays Capital Inc.	-	Late August 2007 to early 2009